

PRIVACY POLICY

YiWealth Management Ltd. ("YiWealth" or "we") is committed to protecting the confidentiality and privacy of our clients' personal data and financial information. This Privacy Policy is issued in accordance with Hong Kong's Personal Data (Privacy) Ordinance (the "Ordinance") and outlines how we collect, use, retain, and protect personal data.

1. PERSONAL DATA COLLECTION

In order to provide you with personalized financial services, YiWealth may request certain personal information ("Data") from you, including but not limited to:

- Name, occupation, and contact details
- Date of birth, nationality, passport or identity card numbers
- Family member details, financial status, and investment history

Data collection may be necessary for a variety of purposes, including financial needs analysis, account opening, service provision, or compliance with regulatory requirements. If you do not provide the required Data, YiWealth may be unable to deliver certain services or meet legal and regulatory obligations.

2. PURPOSE AND USE OF DATA

The Data collected may be used for the following purposes:

- Processing financial needs analyses and applications for YiWealth's private client services
- Administering your account and managing the services provided
- Complying with disclosure and regulatory requirements applicable to YiWealth in Hong Kong or other jurisdictions
- Marketing and promotional activities (subject to your consent)
- Any purposes directly related to the above

Direct Marketing: YiWealth may use your Data to inform you about products or services we believe may be of interest. You have the right to opt out of direct marketing at any time by notifying us, after which we will cease using your Data for such purposes without any charges to you.

3. RETENTION AND SECURITY OF DATA

YiWealth retains Data as required by applicable law or as necessary to fulfill the purposes outlined above. We take reasonable measures to ensure that your Data is secure against unauthorized access, loss, or disclosure.

4. DISCLOSURE OF DATA

To fulfill the purposes outlined above, YiWealth may share your Data with the following parties, in Hong Kong or internationally:

- YiWealth's parent company, subsidiaries, and affiliated entities
- Service providers, including trustees, investment managers, custodians, and auditors
- Third-party providers offering insurance, banking, investment, or related services to YiWealth's clients
- Contractors or partners providing administrative, marketing, or operational support to YiWealth
- YiWealth's officers, directors, and employees
- Governmental or regulatory bodies as required by law or upon lawful request
- External service providers, such as printing, mailing, IT, and data processing companies, engaged by YiWealth for operational support

5. YOUR RIGHTS UNDER THE ORDINANCE

You have the following rights regarding your Data held by YiWealth:

- **Access:** Request confirmation as to whether we hold your Data and obtain access to such Data.
- **Correction:** Request correction of any inaccurate Data.
- **Opt-out:** Object to the use of your Data for direct marketing purposes. Once you have communicated your objection, YiWealth will cease using your Data for future direct marketing activities.

Please note: YiWealth may charge a reasonable fee to cover administrative costs associated with processing Data access requests.

For inquiries regarding your Data or to exercise your rights, please contact:

YiWealth Management Ltd.